

**Office of the Chief Information Security Officer and
Communications Technology Services Division
Network Security Operations Section**

CISO/Network Security Operations Audit

Internal Audit Report 13-105

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Texas Department of Information Resources
Austin, Texas

Executive Summary

This report provides detail of our review of the alert processes established by DIR by the Office of the Chief Information Security Officer (OCISO) and the Network Security Operations (NSO) section of DIR. This audit was part of the Fiscal Year 2013 Internal Audit Plan that was approved by the Board. Organizationally the Chief Information Security Officer reports to the DIR Executive Director and the NSO section reports to the Assistant Director, Operations of the Communications Technology Services Division. Both of these organizations have security related responsibilities.

The scope of the audit covered fiscal year 2013 activities between September 1, 2012 to April 1, 2013 and will focus on the policies and procedures established by the Office of the Chief Information Security Officer for the State of Texas (OCISO) and will also include the processes and procedures of the Network Security Operations (NSO) section within the Communications Technology Services Division of DIR.

We conducted this audit in accordance with generally accepted government auditing standards. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on our audit objectives.

Due to the confidential nature of the Audit Report, the detailed report information including our findings and recommendations was provided to DIR Executive Management.¹

¹ Texas Government Code §2054.077 and GAO Yellow Book Chapter 7.39-7.41 address confidential report distribution